

2015 SLAB EXPORT REPORT FOR U.S. EPA

February 25, 2016

VIA Certified U.S. Mail

Robert G. Heiss, Director
International Compliance Assurance Division
Office of Federal Activities,
US Environmental Protection Agency
Ariel Rios Building, Mail Code 2254A
1200 Pennsylvania Avenue, NW
Washington, DC 20460

Re: 2015 SLAB Export Report
Johnson Controls Battery Group, Inc.

Dear Mr. Heiss,

Johnson Controls Battery Group, Inc. ("JCBGI") is submitting this annual report to the U.S. Environmental Protection Agency ("U.S. EPA"), pursuant to 40 C.F.R. Sections 262.56, 262.87(a) and 266.80, to provide a summary of the total number of shipments and volume of spent lead-acid batteries ("SLABs") exported by JCBGI to Mexico and Canada during the previous calendar. This annual report covers JCBGI's SLAB export activities only from January 1, 2015 to December 31, 2015. Summaries of SLABs exported by JCBGI to Mexico and Canada are included in the sections below.

The following information applies to all SLABs exported to Mexico and Canada.

Exporter Mailing Address	Johnson Controls Battery Group, Inc. 5757 N. Green Bay Avenue Glendale, WI 53209
Calendar Year Covered by the Report	2015
Description of the Hazardous Waste	Spent Lead-Acid Batteries (whole, intact)
U.S. EPA and DOT Hazardous Waste Numbers and Class	Spent lead acid batteries, whole, D008, D002, waste batteries, spillable, wet, filled with acid, UN2794, Class 8, PGIII
Designation of Waste Type and Applicable OECD Waste Codes	AA170 (lead batteries)

I. MEXICO

<p>Exporter Site Addresses and U.S. EPA Identification Number(s)</p>	<p>Yuma Distribution Center 3740 South Arizona Avenue Yuma, AZ 85365 ID#AZR000502476</p> <p>San Antonio Distribution Center, 12915 Wetmore Road San Antonio, TX 78247 ID#TXR000064527</p> <p>Johnson Controls Battery Plant - Canby 800 N.W. 3rd Street Canby, OR 97013 ID#ORD010746402</p> <p>Johnson Controls – St. Joe Distribution Center 2320 Lower Lake Road St. Joseph, MO 64504 ID#MOR000504613</p>
--	--

A. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores

<p>Name and Site Address of Consignee/Final Recovery Facility</p>	<p>Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores, 19-12-PS-V-07-94, Carretera Monterrey-Nuevo Laredo km. 11.5, Cienega de Flores, Nuevo Leon CP 65550 Mexico</p>
<p>Name of Transporters and U.S. EPA Identification Numbers (where applicable)</p>	<p>See attached list</p>
<p>Total Number of Shipments and Volume</p>	<p>Notification #012440/6E/15 (San Antonio to Cienega):</p> <p>Volume – 2,774 MT</p> <p>Shipments - 139</p> <p>Notification #009922/6E/14 (San Antonio to Cienega)</p> <p>Volume – 47,750 MT</p> <p>Shipments- 2,388</p>

	<p>Notification #012892/9E/15 (Yuma to Cienega):</p> <p>Volume – 0 MT</p> <p>Notification #010592/10E/14 (Yuma to Cienega):</p> <p>Volume – 48,809 MT</p> <p>Shipments – 2,440</p> <p>Total Volume – 99,333 MT</p> <p>Shipments – 4,917</p>

B. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Garcia

Name and Site Address of Consignee/Final Recovery Facility	Enertec Exports S. de R.L. de C.V. Planta Garcia, 19-IV-38-10, Carretera a Garcia KM 9.5 Garcia Nuevo León Mexico
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached list
Total Number of Shipments and Volume	<p>Notification #011995/5E/15 (San Antonio to Garcia):</p> <p>Total Volume – 67,274 MT</p> <p>Shipments – 3,364</p> <p>Notification #009920/6E/14 (San Antonio to Garcia):</p> <p>Total Volume – 61,005 MT</p> <p>Shipments- 3,050</p> <p>Notification #011484/2E/15 (Yuma to Garcia):</p> <p>Total Volume – 24,453 MT</p> <p>Shipments – 1,223</p>

	Notification #00008765/1E/14 (Yuma-Garcia) Total Volume – 18,342 MT Shipments - 917 Notification #012445/6E/15 (Portland to Garcia): Total Volume – 11,962 MT Shipments- 598 Notification #010280/8E/14 (Portland to Garcia): Total Volume – 19,964 MT Shipments - 998 Notification #013145/11E/15 (St. Joe – Garcia) Total Volume – 0 MT Notification #010535/10E/14 (St. Joe – Garcia) Total Volume – 45,047 MT Shipments – 2,252 Total Garcia Volume – 248,046 MT Total Shipments – 12,402
	Total Mexico Volume – 347,379 MT Total Shipments – 17,369

II. CANADA

Exporter Site Addresses and U.S. EPA Identification Number(s) (where applicable)	Johnson Controls Battery Group Inc. 50 Patriot Drive Middletown, DE 19709 Johnson Controls Battery Group Inc. 4432 Ardmore Avenue Fort Wayne, IN 46809-9793
--	--

	Johnson Controls Battery Group, Inc. 800 NW 3 rd Avenue Canby, OR 97013 ID#: ORD010746402
	Johnson Controls Battery Group Inc. 180 W. Hawthorne Lane West Chicago, IL

A. Consignee/Final Recovery Facility - La Corporation Newalta

Name and Site Address of Consignee/Final Recovery Facility	La Corporation Newalta, 1200 Garnier ville Ste-Chatherine (Quebec), Canada J5C 1B4
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached list
<i>Total Number of Shipments and Volume</i>	Permit; #010532/10E/14 Volume – 19,061.9 MT Number of Shipments – 962

B. Consignee/Final Recovery Facility - Tonolli Canada Ltd.

Name and Site Address of Consignee/Final Recovery Facility	Tonolli Canada Ltd. 1333 Tonolli Road Mississauga, ON L4Y 4C2, Canada
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached list
<i>Total Number of Shipments and Volume</i>	Permits; #009724/5E/14, #009723/5E/14 Volume – 1,715 MT Number of Shipments – 88

C. Consignee/Final Recovery Facility – Teck Metals, Inc.

Name and Site Address of Consignee/Final Recovery Facility	Teck Metals, Ltd. 9350 Highway 22A P.O. Box 398 Trail, British Columbia
--	--

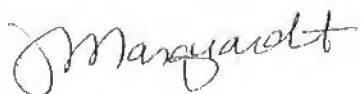
	Canada VIR 47
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached list of transporters.
<i>Total Number of Shipments and Volume</i>	<p>Permits; #008797/1E/14 (Canby, OR to KC Recycling):</p> <p>Volume 1,258 MT</p> <p>Number of Shipments -- 54</p> <p>Permits; #011129/1E/15 (Canby, OR to KC Recycling):</p> <p>Volume 11,147 MT</p> <p>Number of Shipments -- 545</p>

Certification

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine and imprisonment.

If you have any questions regarding the contents of this annual report, please contact me at (414) 524-2597.

Sincerely,



Joe Marquardt
Environmental Relations Manager
Johnson Controls Battery Group, Inc.

JCSGI

SLAB Export Carrier List - Revised - June 6, 2013

Confirmed by Rafael Chavez on 5/19/13

Type	Carrier	Contact	Phone Number	Email Address
Cross border Carrier	TPW Inc.	Olvido Garcia	(561) 722-4840	olvido.garcia@tricher.com
US Rail Co	Union Pacific Rail Road	Israel Briseno	52-818-368-7103	ibrisene@up.com
US Rail Co	Kansas City Southern	Randy Pirkey	903-656-6202	pirkey@onesarsteel.com
US Rail Co	BNSF Railway (Burlington Northern Santa Fe)	Jason McCullars	817-230-2635	jason.mccullars@am.jll.com
MX Carrier	Ferromex	Rolando Balderas	52-818-130-6005	rbalderas@ferromex.com.mx
MX Carrier	Kansas City Southern of Mexico	Adriana Covarrubias	52-818-305-7900 x7019	imcovarrubias@kcsouthern.com.mx
MX Carrier	Fletes Mexico Chihuahua	Sergio Acosta	(656) 143-2940	sacosta@fletes-mexico
MX Carrier	Trans Mex / Swift	Mario Jimenez	(956) 794-1126	mario.jimenez@swifttrans.com
MX Carrier	Express Litoral	Cesar Aguirre	(826) 268-56-70	cesaraguirre@expresslitoral.com.mx
MX Carrier	Sistemas de Apoyo Logístico al Comercio Internacional (SALCI)	Manuel Covarrubias	(871) 732-9220	mcp@salci.com.mx
MX Carrier	Esquemas Logísticos (ELO)	Adrian Martinez	(81) 8239-0026	adrian.martinez@elo.com.mx
MX Carrier	Sistemas de Transporte Integral (STI)	Adolfo Vargas	(871) 729-35-00	avargas@sti-logistics.com.mx
MX Carrier	Transmontes	Noe Montes	(956) 712-4304	noe.montes@tnlogistics.us
MX Carrier	Xpress Internacional	Rene Garza	(81) 736-8088	rgarza@xpress.com
MX Carrier	M&H Express	Marcelo Garza	(81) 1113-1972	marcelo.g@mhexpress.com.mx
MX Carrier	Cia. Internacional de Fletamientos S.A. de C.V.	Jorge Gonzalez	(81) 8000-7922	Jorge.Gonzalez@hinciosa.com.mx
MX Carrier	GASA	Ovidio Garza	(81) 1160-3030	ovido@transportesgasa.com
MX Carrier	Enertec Exports S. de R.L. de C.V.	Cecilio Villalaz	(52 81) 8329-9782	Cecilio.R.Villalaz@ici.com
MX Carrier	Tem Logística	Oscar Aguirre	(826) 268-7475	oscar@temlogistica.com.mx
MX Carrier	Blue Arrow S.A. de C.V.	Cynthia Mercado/Adrian Silva	(867) 718-4500	
MX Carrier	United Carriers International S.A. de C.V.	Fernando Baca	(956) 436-2971	
MX Carrier	Yanco Logistics S.A. de C.V.	Alejandro Yanza	(871) 749-3400	

Canada SLAB Carrier List - 2014-2015

Box 4 – Authorized Carrier List

Name: L.S. McLellan Trucking Ltd.
Address: 1901 Theatre Road
 Cranbrook, BC V1C 7G3
Mode of Transport: Truck
License#: LT1090
Phone: 877-717-2988
Fax: 888-420-1166
Email: dispatch@lsmclellantrucking.com and/or billing@lsmclellantrucking.com
Contact: Brian Marriott
Insurance Co.: Aviva Insurance Company of Canada
Policy#: CMP 81927700

Name: Berry and Smith Trucking Ltd.
Address: 301 Warren Avenue East
 Penticton, BC V2A 3M1
Mode of Transport: Truck
License#: LT0124
Phone: 800-663-2339
Fax: 250-492-7655
Email: markb@berryandsmith.com and/or pendispatch@berryandsmith.com
Contact: Mark Berry
Insurance Co.: Hub International Ltd.
Policy#: CBC 1911776

Name: Skyhawk Trucking Ltd.
Address: 304 Slater Road
 Cranbrook, BC V1C 4K5
Mode of Transport: Truck
License#: LT1163
Phone: 250-426-7268
Fax: 250-426-4787
Email: skyhawkcbbk@shaw.ca
Contact: Bob Hockley
Insurance Co.: Westland Insurance
Policy#: CATWL 11011

Name: Dell Transport Ltd.
Address: 75 Old Mill Road
 Castlegar, BC V1N 4T7
Mode of Transport: Truck
License#: LT1219
Phone: 250-304-2290
Fax: 250-304-2298
Email: randyper@telus.net and/or delltran@telus.net
Contact: Randy Perreault
Insurance Co.: Capri Insurance
Policy#: 5A1350074

Name: KR Bowen Trucking
4370 Turner Road SE
Salem, OR 97317
Mode of Transport: Truck/OTR
License#: MC469224/DOT1168577
Phone: 503-371-0075/541-791-8100
Fax: 503-371-2922/541-791-1907
Email: ckessloff@krbowentrucking.com/krbowentrucking@yahoo.com
Contact: Chris Kessloff
Insurance Co.: The Empire Company (Underwriter: Hudson)
Policy#: BUI00133700

Name: Pronto Distribution
4250 NW Yeon Ave.
Portland, OR 97210
Mode of Transport: Rail Transloader
Phone: 503-222-1152
Email: prontodist@yahoo.com
Contact: David Black
Insurance Co.: Mutual of Enumclaw Insurance Company
Policy#: BOP 0005367 02

Name: BNSF Railway Company
Address: 2650 Lou Menk Drive
Fort Worth, Texas, U.S.A.
76131-2830
Mode of Transport: Rail
License# MND048341788
Tel. No.: (817) 878-2000
Fax No.: (817) 878-2020
Email: kevin.burrin@bnsf.com
Contact: Kevin Burrin
Insurance: Self Insured

Name: OmniTRAX, Inc.
Address: 252 Clayton Street, 4th Floor
Denver, CO 80206
Phone: 303.398.4539
Fax: 866.753.0516
Email: jslagle@omnitrax.com
Contact: Julie Slagle
Insurance Co: Indian Harbor Insurance Co. (US) / XL Insurance Co. Ltd (CAN)
Policy#: RRL003884901 (US) / RLC0038879 (CAN)

Name: Trimac Transportation Services Inc.
Address: 9595 Highway 22A
Trail, BC V1R 4W6
Mode of Transport: Truck
License#: LT-0012
Phone: 250-367-7701
Fax: 250-367-6618
Email: tcampbell@trimac.com
Contact: Tim Campbell
Insurance Co.: Zurich Insurance Company Limited
Policy#: 9999538

Name: Direct General Partner Corporation
Address: Unit 101 – 10167 - 199B Street
Langley, BC V1M 3W9
Mode of Transport: Truck
License#: LT1231
Phone: (204) 926-2552
Fax: (204) 947-3083
Email: eanderson@directtrans.com
Contact: Evelyne Anderson
Insurance Co.: Manitoba Public Insurance Corporation
Policy#: AM1000364339

TONOLLI CANADA LTD - APPROVED CARRIERS LIST

CARRIER	INSURANCE COMPANY/ POLICY #	CONTACT NAME/ PHONE#	HAZMAT CERTIFICATE REGISTRATION #
1354943 ONTARIO INC. O/A SOMAL TRANSPORT 603 NOVO STAR DRIVE MISSISSAUGA ON L5W 1C7	COWAN INS GROUP LTD. RMGL18172620	BOB SHERGILL 905-362-1100	052214 550 007W
1643799 ONTARIO INC. o/a MJ EXPRESS P.O. BOX 045 COBourg ON K0A 4W4	BRYSON & ASSOCIATE INS 41185744	MIKE STEWART 905-372-6980	052114 550 062WY
B152390 CANADA INC. 602-30 FONTENAY COURT EYOBICKE ON M9A 4W5	OLD REPUBLIC R34713A	BOB SHERGILL 905-362-1100	062714 551 017W
ANS SCRAP METAL LTD. 1158 MCADAMS LANE GLENBURNIE ON K0H 1S0	ECONOMICAL INSURANCE 40038502	MARK O'ROURKE 613-583-6915	052815 552 010XZ
CANADA CARTAGE SYSTEM LIMITED 1115 CARDIFF BLVD MISSISSAUGA ON L5S 1L8	MARSH CANADA MW81445514	GORD KARPE 905-564-2115	050514 550 004W
CHALLENGER MOTOR FREIGHT INC. N/O CHALLENGER LOGISTICS INC. 300 MAPLE GROVE ROAD CAMBRIDGE ON N3E 1B7	NORTHBRIDGE COMM. INS CO P7174444	ENNO JAKOBSON 905-564-5670	050112 557 004UW
CONTRANS FLATBED GROUP GP INC. 1179 RIDGEWAY ROAD P.O. BOX 1669 WOODSTOCK ON N4S 8P6	MARKEL INS CO 2019619	BILL PREECE 905-876-6490	MIK920205430
ELGIN MOTOR FREIGHT INC. 1497 WILTON GROVE ROAD LONDON ON N6N 1M3	NORTHBRIDGE COMM. INS. CO. P7174444A	DWAYNE LINNER 905-625-3300	051414 551 051W
GREEN LINE TRANSPORTATION INC. 115 WILDBERRY CRES. BRAMPTON ON L6R 1J8	AVIVA INSURANCE BIN70526	BOB SHERGILL 905-362-1100	113014 550 002W
HEART LOGISTICS 6975 D PACIFIC CIRCLE MISSISSAUGA ON L5T 2H3	NORTHELAND COMM. INS. CORP. EPP6173876	BOB SHERGILL 905-362-1100	052614 550 089W
HIGHLAND TRANSPORT 2015-14 AVENUE MARKHAM ON L3R 0H9	MARCH INS CO. RMGL 9895604	ADILA BARBOSA 900-260-1729	051412 550 031UW
HIGHLIGHT MOTOR FREIGHT INC. 500 CREDITSTONE RD CONCORD ON L4K 3Z3	INTACT INSURANCE CO. A1A002959	BOB SHERGILL 905-362-1100	050514 555 010W
INTERNATIONAL TRUCKLOAD SE 107 BELLEVUE DR BOX 1450 BELLEVILLE ON K0N 5B2	KINGWAY GENERAL 2019218	FRED LABRASH 600-267-1088	050713 550 012VX
J SYVRET & CO LTD 5499 HARVESTER RD HURLINGTON ON L7L 5V4	OLD REPUBLIC INS R20776R	DAVE SYVRET 905-681-3677	052514 550 006W
LARWAY TRANSPORTATION 65 ELLIS DRIVE BARRIE ON L4N 0Z3	MARSH CANADA LTD. TPP 9906177	STUART POUND 416-213-7445	052114 551 056W
LADLAW CARRIERS VAN GP INC 1179 RIDGEWAY ROAD P.O. BOX 1669 WOODSTOCK ON N4S 8P6	NORTHBRIDGE COMM. INSURANCE CORP. 2019019	BILL PREECE 519-421-3300	060414 550 095W
MANLEY TRANSPORT INC 8926 TALBOT TRAIL BLENHEIM ON N0P 1A0	ZURICH INSURANCE CO. 0508204	JACOB WALL 519-609-5253	002714 550 097W
MAVRON TRANSPORT INC. 5758 DIXIE ROAD MISSISSAUGA ON L4W 1E7	COWAN INS GROUP LTD. RMGL 9895649	BOB SHERGILL 905-362-1100	052414 552 020W
SGT 2000 INC 354 ROUTE 122 ST GERMAIN DE GRANTHAM PO J0C 1K0	ZURICH INSURANCE 9999611	DALE DEADMAN 819-395-4213	050814 550 036WX
SPARTAN FREIGHT SYSTEMS INC. 2-3415 DIXIE ROAD #202 MISSISSAUGA ON L4Y 4J6	OLD REPUBLIC INS CO. R33341	BOB SHERGILL 905-362-1100	060313 557 005VX
SYLVITE AGRI-SERVICES LTD O/A SYLVITE TRANSPORTATION GROUP 1500 CORPORATE DRIVE BURLINGTON ON L7L 6H4	MARSH CANADA LTD. 499-268	TIM ALFORD 605-331-0365	060314 552 096W
TONOLLI CANADA LTD 1333 TONOLLI RD MISSISSAUGA ON L4Y 4C2	HUNTERS INS 8703337	ED CAMILLERI 905-201-6028	061714 553 027WY
TRANSX LTD 2695 INKSTER BLVD WINNIPEG MB R3C 2E0	ACORD AM1 000393700	MIKE LANZA 800-387-2243	060414 554 058W
TTR TRANSPORT 219 WENTWORTH ST E OSHAWA ON L1H 3N7	MARSH CANADA LTD. RMGL 10172587	WAYNE LEVILLE 905-725-5544	050414 700 010W

CORNWALL TRAFFIC OFFICE/MASSENA

LANDSDOWN(THOUSAND ISLANDS BRIDGE)/
ALEXANDRIA BAY

QUEENSTON LEWISTON BRIDGE/LEWISTON

FT. ERIE (PEACE BRIDGE)/BUFFALO

SARNIA/PORT HURON

00500

01000

151969 REV 7/08 RRO

.COM 1800.GoFedEx 1800.463.3339

earthsmart

FedEx carbon-neutral

FedEx
 Package
 Express
 US Airbill

envelope shipping

 FedEx
 Tracking
 Number
 8047 4837 3027

1 From

Date 2-16-16

Sender's Name

Joe Marguardt

Phone

414 5242597

Company

JOHNSON CONTROLS

Address

5757 GREEN BAY AVE

City

MILWAUKEE

State

WI

ZIP

53209-4408

Drop-Box/Suite/Room

2 Your Internal Billing Reference

3 To

Recipient's Name

Scott Nelson

Phone

2025645032

Company

U.S. Environmental Protection Agency

Address

Mail Code 2254 A

We cannot deliver to P.O. boxes or P.O. ZIP codes

Drop-Box/Suite/Room

Address

1200 Pennsylvania Ave., N.W.

Use this line for the HOLD location address or for combination of your shipping address

City

Washington, D.C.

State

DC

ZIP

20460

0112478409

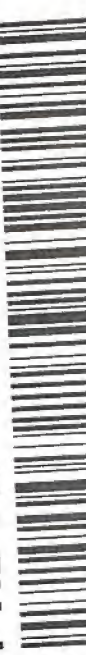
FedEx First Overnight®

FedEx
FIRST
OVERNIGHT
 Delivery Address
 1200 PENNSYLVANIA AVE

 THU - 17 MAR 8:00A
 FIRST OVERNIGHT

 20460
 DC-US
 IAD

FedEx
 TRK# 8047 4837 3027
 0215

X1 RDVA


Align top of FedEx Express® shipping label here.


 Packages up to 150 lbs.
 For packages over 150 lbs., use the
 FedEx Express Freight US Airbill

 4 Express Package Service
 NOTE: Service order has changed. Please select carefully.

 Next Business Day
☒

 FedEx First Overnight
 Packages are delivered the next business day to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.

☐
 FedEx Priority Overnight
 Packages are delivered the next business day to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.

☐
 FedEx Standard Overnight
 Packages are delivered the next business day to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.

 2 or 3 Business Days
☐

 FedEx 2Day A.M.
 Packages are delivered the next business day to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.

☐
 FedEx 2Day
 Packages are delivered the next business day to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.

☐
 FedEx Express Saver
 Packages are delivered the next business day to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.

5 Packaging * Declared value limit \$500

☒
 FedEx Envelope*

☐
 FedEx Pak*

☐
 FedEx Box

☐
 FedEx Tube

☐
 Other

6 Special Handling and Delivery Signature Options

☐
 SATURDAY Delivery

NOT available for FedEx Standard Overnight, FedEx 2Day A.M., or FedEx Express Saver

☒
 No Signature Required

Packages may be left without obtaining a signature for delivery

☐
 Direct Signature

Signature of addressee is required

☐
 Indirect Signature

Signature of someone at the address is required

☐
 Does this shipment contain dangerous goods?

One box must be checked.

☒
 No

☐
 Yes

☐
 As per attached Shipper's Declaration not required

☐
 Dry Ice

☐
 UN 1845

☐
 Cargo Aircraft Only

☐
 Obtain recip. Acct. No.

☐
 Recipient

☐
 Third Party

☐
 Credit Card

☐
 Cash/Check

☐
 Total Packages

☐
 Total Weight

☐
 lbs

☐
 Credit Card Acct.

☐
 Your liability is limited to US\$200 unless you declare a higher value. See the current FedEx Service Guide for details.

Raw Data 2/12 • Part #163134 • ©1994-2013 FedEx • PRINTED IN U.S.A. SBS